

Quant Alpha LLC

Client Relationship Summary (Form CRS)

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CRD Number (Firm): 336290

1. Introduction

Quant Alpha LLC ("Quant Alpha," "we," "our," "us") is an *internet-only investment adviser* that has submitted an application for registration with the U.S. Securities and Exchange Commission. All advice is delivered digitally; we do not provide human representatives or face-to-face consultations. Brokerage and investment-advisory services differ. Free information to compare them is available at <https://www.investor.gov/CRS>.

2. What investment services and advice can we provide you?

- **Discretionary managed accounts** — We invest client assets in exchange-traded funds (ETFs) that track, inverse-track, or leverage the Nasdaq-100® (Nx1, Nx2, Nx3). Portfolios are *not individually tailored*; every account follows the same systematic strategy.
- **Continuous monitoring** — Proprietary algorithms track portfolios in real time and automatically adjust exposure when predefined risk conditions change.
- **No custody of assets** — Your account is opened *in your own name at Interactive Brokers*. We never take possession of client assets. We may be deemed to have limited custody solely due to our ability to deduct fees.
- **Trading authority** — You grant us *limited power of attorney* to place trades without seeking your prior approval for each transaction.
- We *do not* provide financial-planning or brokerage services.

For additional information, please review **Items 4, 5, and 8** of our Form ADV Part 2A, available on our website and at <https://adviserinfo.sec.gov>.

Fees and Costs

You will pay an ongoing asset-based fee for our investment-advisory services, typically calculated as a percentage of the assets we manage on your behalf. This fee is deducted directly from your account at regular intervals and represents the primary way we are compensated. For certain eligible clients, we may also charge a performance-based fee, which is an additional charge tied to the investment profits earned in your account. These fee arrangements may create incentives for us to manage accounts with different risk or return characteristics, and we address these potential conflicts through a consistent, rules-based investment process and internal oversight.

In addition to advisory fees, you may incur separate charges from third parties, such as brokerage commissions, exchange-traded fund (ETF) operating expenses, and other trading or custody-related costs. These third-party fees are not paid to us, but they do affect your overall investment performance. You will pay fees and costs whether your investments increase or decrease in value, and over time these costs will reduce the total return on your portfolio.

For full details on our fees, performance compensation, and related conflicts of interest, please see Items 5 and 6 of our Form ADV Part 2A at <https://adviserinfo.sec.gov/firm/summary/336290>.

Questions to Consider

Before opening an account, review the SEC “conversation starters” below and our answers in this summary and on our website.

- **Given my financial situation, should I choose investment-advisory services? Why or why not?**

We do not provide personalized advice. You must decide whether a rules-based, fully digital service matches your objectives and risk tolerance.

- **How will you choose investments to recommend to me?**

All accounts follow the same algorithmic strategy investing in Nasdaq-100 ETFs and dynamically adjusting exposure per predefined risk models.

- **What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?**

Firm leadership holds graduate degrees in financial and electrical engineering and maintains the necessary licensing to act as an investment adviser. Details are at <https://quantalpha.net/about-us>.

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

See Sections 2–3 above and our Form ADV Part 2A for fee impact illustrations.

- **How might your conflicts of interest affect me, and how will you address them?**

See Section 4 for conflict disclosures and mitigation.

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how I am being treated?**

Quant Alpha delivers advice through our digital platform. We don’t assign individual representatives. Our support team can help with questions but does not provide financial advice. We are an IA not a BD.

4. What are our legal obligations and conflicts of interest?

When we act as your investment adviser, we have a fiduciary duty to **act in your best interest and not put our interests ahead of yours**. Potential conflicts include:

- **Performance-based fees** for qualified clients may incentivize us to pursue higher returns. We mitigate this through a disciplined, rules-based investment process, strict risk controls.
- **Proprietary accounts** — The founder and employees may invest in the same strategy. All trades follow the same execution rules; no one may trade ahead of clients.

5. How do our financial professionals make money?

Personnel receive **fixed salaries plus firm-level performance bonuses**. They do not receive trade commissions, product sales compensation, or payments from third-party providers.

6. Do you or your financial professionals have legal or disciplinary history?

No. Neither our firm nor our financial professionals have any legal or disciplinary history. Visit <https://www.investor.gov/CRS> or <https://adviserinfo.sec.gov> (CRD 336290) to research us.

7. Additional information and contacts

To obtain up-to-date information, request a copy of this summary, or view our Form ADV Part 2A brochure.